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03945 U.S. PTO  
10/66667  
09/22/03

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		<i>Attorney Docket No.</i> 3558P022D2
<i>First Inventor</i> James M. Cleeves		
<i>Title</i>	Three-Dimensional Memory	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		<i>Express Mail Label No.</i> EV339922560US

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 28] (preferred arrangement set forth below)            - Descriptive title of the Invention            - Cross References to Related Applications            - Statement Regarding Fed sponsored R &amp; D            - Reference to sequence listing, a table, or a computer program listing appendix            - Background of the Invention            - Brief Summary of the Invention            - Brief Description of the Drawings (if filed)            - Detailed Description            - Claim(s)            - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]</p> <p>5. Oath or Declaration (signed) [Total Pages 5]            a. <input type="checkbox"/> Newly executed (original or copy)            b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))            (for continuation/divisional with Box 18 completed)            i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)            a. <input type="checkbox"/> Computer Readable Form (CRF)            b. Specification Sequence Listing on:            i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or            ii. <input type="checkbox"/> paper            c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Application Amended to Reflect Claim of Priority</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

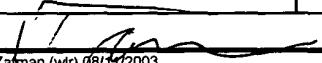
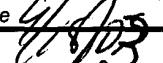
Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 10/185,508

Prior application Information: Examiner Huynh, Yennhu B. Group/Art Unit: 2813

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	08791	or <input checked="" type="checkbox"/> Correspondence address below	
Name	Edwin H. Taylor		
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Name (Print/Type)	Edwin H. Taylor	Registration No. (Attorney/Agent)	25,129
Signature			
Date			

Based on PTO/SB/05 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 08/17/2003.  
SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$ 750.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	James M. Cleeves
Examiner Name	
Group/Art Unit	
Attorney Docket No.	3558P022D2

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None  
 Deposit Account

Deposit Account Number **02-2666**

Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	
1001	2001	375 Utility filing fee	<b>750.00</b>
1002	2002	165 Design filing fee	
1003	2003	260 Plant filing fee	
1004	2004	375 Reissue filing fee	
1005	2005	80 Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$)</b>	<b>750.00</b>

### 2. EXTRA CLAIM FEES

Total Claims	10	- 20*	= 0	X	18.00	=	\$0.00	Fee Paid
Independent Claims	2	3*	= 0	X	84.00	=	\$0.00	
Multiple Dependent								

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee (\$)
1202	2202	9 Claims in excess of 20
1201	2201	42 Independent claims in excess of 3
1203	2203	140 Multiple Dependent claim, if not paid
1204	2204	42 **Reissue independent claims over original patent
1205	2205	9 **Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b>
		<b>0.00</b>

\*or number previously paid, if greater. For Reissues, see below

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	
1051	2051	65 Surcharge - late filing fee or oath	
1052	2052	25 Surcharge - late provisional filing fee or cover sheet.	
2053	2053	130 Non-English specification	
1812	1812	2,520 For filing a request for ex parte reexamination	
1804	1804	920 * Requesting publication of SIR prior to Examiner action	
1805	1805	1,840 * Requesting publication of SIR after Examiner action	
1251	2251	110 Extension for reply within first month	
1252	2252	410 Extension for reply within second month	
1253	2253	930 465 Extension for reply within third month	
1254	2254	1,450 725 Extension for reply within fourth month	
1255	2255	1,970 985 Extension for reply within fifth month	
1404	2401	320 160 Notice of Appeal	
1402	2402	320 160 Filing a brief in support of an appeal	
1403	2403	280 140 Request for oral hearing	
1451	2451	1,510 1,510 Petition to institute a public use proceeding	
1452	2452	110 55 Petition to revive - unavoidable	
1453	2453	1,300 650 Petition to revive - unintentional	
1501	2501	1,300 650 Utility issue fee (or reissue)	
1502	2502	470 235 Design issue fee	
1503	2503	630 315 Plant issue fee	
1460	2460	130 130 Petitions to the Commissioner	
1807	1807	50 180 Processing fee under 37 CFR 1.17(q)	
1806	1806	180 180 Submission of Information Disclosure Stmt	
8021	8021	40 40 Recording each patent assignment per property (times number of properties)	
1809	1809	750 375 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	750 375 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	750 375 Request for Continued Examination (RCE)	
1802	1802	900 900 Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Edwin H. Taylor	Registration No. (Attorney/Agent)	25,129	Telephone	(408) 720-8300
Signature				Date	9/1/03

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (Wt) 08/11/2003.  
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